

DEPARTMENT OF NATURAL AND CULTURAL RESOURCES

PROGRAM RECORDS RETENTION AND DISPOSITION SCHEDULE

ORGANIZATIONAL NAME CHANGE

An organizational name change to this Program Records Retention and Disposition Schedule is hereby approved. The Program Records Retention and Disposition Schedule reflects an organizational change from **Department of Natural and Cultural Resources** to **Department of Natural and Cultural Resources**. No new items have been added, no items have been deleted, and no changes have been made to any existing series descriptions or disposition instructions in the most recently updated versions of the program schedules contained herein. In accordance with the provisions of Chapters 121 and 132 of the General Statutes of North Carolina, it is agreed that the records of the

DEPARTMENT OF NATURAL AND CULTURAL RESOURCES

do not and will not have further official use or value for administrative, research, or reference purposes after the respective retention periods specified herein. The North Carolina Department of Natural and Cultural Resources consents to the destruction or other disposition of these records in accordance with the retention and disposition instructions specified in this schedule. The agency agrees to comply with 07 NCAC 04M. 0510 when deciding on a method of destruction. Confidential records will be destroyed in such a manner that the records cannot be practicably read or reconstructed. However, records subject to audit or those legally required for ongoing official proceedings must be retained until released from such audits or official proceedings, notwithstanding the instructions of this schedule.

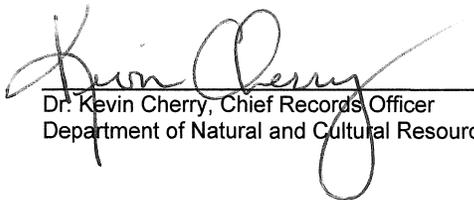
The Department of Natural and Cultural Resources agrees that certain records series possess only brief administrative, fiscal, legal, research, and reference value. These records series have been designated by retention periods which allow them to be destroyed when "administrative/reference value ends." The Department of Natural and Cultural Resources hereby agrees that it will establish and enforce internal policies setting minimum retention periods for records with this disposition instruction. Without the establishment of internal policies, the agency is not authorized to destroy these records. For those record series scheduled to be microfilmed, the Department of Natural and Cultural Resources will be responsible for cost of microfilm production.

The Department of Natural and Cultural Resources concurs that the long-term and/or permanent preservation of electronic records require additional commitment and active management by the agency. The Department of Natural and Cultural Resources agrees to comply with all policies, standards, and best practices regarding the creation and management of electronic records.

E-mail messages sent or received by Executive Branch agencies shall be retained for 5 years pursuant to Executive Order No. 12 (issued May 21, 2013 by Governor Pat McCrory). Any e-mail messages requiring retention longer than 5 years, including those with permanent historical value, shall be designated with specific retention periods in this program records schedule. Public records including electronic records not listed in this schedule or in the *General Schedule for State Agency Records* are not authorized to be destroyed.

The Department of Natural and Cultural Resources agrees to destroy, transfer or dispose of records in the manner and the times specified herein. This schedule is to remain in effect until superseded.

APPROVAL RECOMMENDED


Dr. Kevin Cherry, Chief Records Officer
Department of Natural and Cultural Resources


Sarah E. Koonts, Director
Division of Archives and Records

APPROVED


Susan W. Kluttz, Secretary
Department of Natural and Cultural Resources

DEPARTMENT OF CULTURAL RESOURCES
DIVISION OF ARCHIVES AND HISTORY
MUSEUM OF HISTORY SECTION
GRANTS OFFICE

Records Retention and Disposition Schedule

The Records Retention and Disposition Schedule and retention periods governing the records series listed herein are hereby approved. In accordance with the provisions of Chapters 121 and 132 of the General Statutes of North Carolina, it is agreed that the records of the

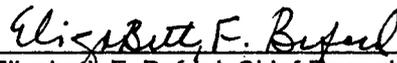
GRANTS OFFICE

do not and will not have further official use or value for administrative, research, or reference purposes after the respective retention periods specified herein. The N.C. Department of Cultural Resources consents to the destruction or other disposition of these records in accordance with the retention and disposition instructions specified in this schedule. However, records subject to audit or those legally required for ongoing official proceedings must be retained until released from such audits or official proceedings, notwithstanding the instructions of this schedule. **Public records including machine readable records not listed in this schedule are not authorized to be destroyed.** The

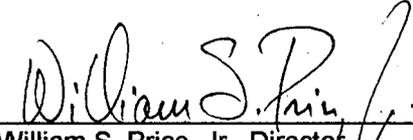
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APPROVAL RECOMMENDED


Elizabeth F. Buford, Chief Records Officer
Department of Cultural Resources


James C. McNutt, Administrator
Museum of History Section


William S. Price, Jr., Director
Division of Archives and History

APPROVED


Betty Ray McCain, Secretary
Department of Cultural Resources

DEPARTMENT OF CULTURAL RESOURCES
DIVISION OF ARCHIVES AND HISTORY
MUSEUM OF HISTORY SECTION
GRANTS OFFICE

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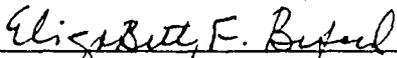
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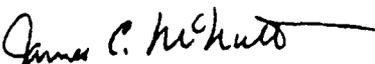
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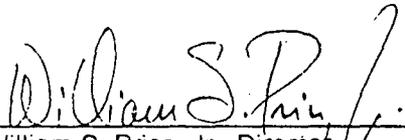
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Department of Cultural Resources


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Division of Archives and History

APPROVED


Betty Ray McCain, Secretary
Department of Cultural Resources

This schedule was modified to
comply with the provisions of the
General Schedule for State Agency Records,
effective October 1, 2000
Remaining items retain the
original date shown below.

March 22, 1995

HFH

**DEPARTMENT OF NATURAL AND CULTURAL RESOURCES
OFFICE OF ARCHIVES AND HISTORY
STATE HISTORY MUSEUM
GRANTS OFFICE**

ITEM 37593. ACTIVE GRANTS FILE

Records concerning funded grants received before or during the grant period that concern the N.C. Museum of History and its branch museums. File includes correspondence regarding awarded grants, grant applications, contracts related to grant projects, instructions for administering grants, final grant reports, and other related records.

DISPOSITION INSTRUCTIONS: Transfer to Inactive Grants File (Item 37596) when grant is paid and final reports are completed.

ITEM 37594. BRANCH MUSEUMS FILE

Records concerning activities of the Grants Office in relation to the three branch museums (Museum of the Cape Fear, Museum of the Albemarle, and the Mountain Gateway Museum). File includes correspondence regarding new laws affecting donors, donated objects, and charitable organizations; requests for information; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office when administrative value ends.

ITEM 37596. INACTIVE GRANTS FILE

Records concerning each grant administered by the Grants Office for the N.C. Museum of History and its branch museums after all payments have been made and grant has been terminated. File includes correspondence regarding awarded grants, grant applications, contracts related to grant projects, instructions for administering grants, final grant reports, and other related records.

DISPOSITION INSTRUCTIONS: Transfer to the State Records Center after 8 years if no litigation, claim, audit, or other official action involving the records has been initiated. If official action has been initiated, transfer to the State Records Center after completion of action and resolution of issues involved. Records will be held for agency in the State Records Center 5 additional years and then transferred to the custody of the Archives.

ITEM 37786. PENDING GRANTS FILE

Completed grant applications awaiting approval or rejection.

DISPOSITION INSTRUCTIONS: Transfer approved grant proposals to Active Grants File (Item 37593) when grant is approved. Transfer rejected grant proposals to Research (Reference) File (Item 37598) when grant is rejected.