

**DEPARTMENT OF INSURANCE
COMPANY SERVICES GROUP
FINANCIAL EVALATION DIVISION
FINANCIAL ANALYSIS SECTION**

Program Records Retention and Disposition Schedule

The Program Records Retention and Disposition Schedule and retention periods governing the records series listed herein are hereby approved. In accordance with the provisions of Chapters 121 and 132 of the General Statutes of North Carolina, it is agreed that the records of the

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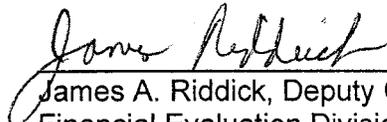
do not and will not have further official use or value for administrative, research, or reference purposes after the respective retention periods specified herein. The N.C. Department of Cultural Resources consents to the destruction or other disposition of these records in accordance with the retention and disposition instructions specified in this schedule. However, records subject to audit or those legally required for ongoing official proceedings must be retained until released from such audits or official proceedings, notwithstanding the instructions of this schedule. **Public records including electronic records not listed in this schedule or in the *General Schedule for State Agency Records* are not authorized to be destroyed. The**

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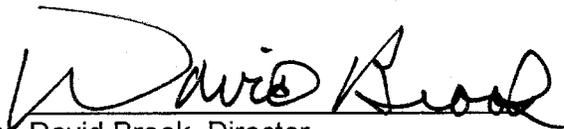
agrees to destroy, transfer or dispose of records in the manner and at the times specified herein. This schedule is to remain in effect until superseded.

APPROVAL RECOMMENDED

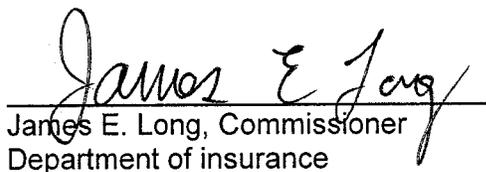

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APPROVED


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ITEM 2306. ANNUAL STATEMENT OF FOREIGN INSURANCE COMPANIES FILE.

Reference copies of annual statements filed by foreign companies (and supplements) reflecting financial information relative to the company. Statements include statistics of company operations and lines of business. Note that foreign companies are not required to file the hard copy annual statement for years ended December 31, 2007, and after. However, certain supplements will continue to be filed in hardcopy.

DISPOSITION INSTRUCTIONS: Destroy in office after 3 years.

ITEM 11813. DOMESTIC PROPERTY AND CASUALTY CORRESPONDENCE AND ANALYSIS FILE.

Records in paper and electronic formats concerning the financial analysis of domestic property and casualty insurance companies by the Department of Insurance. File includes records submitted by insurance companies providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records after 10 years.

ITEM 11814. DOMESTIC LIFE AND HEALTH CORRESPONDENCE AND ANALYSIS FILE.

Records in paper and electronic formats concerning the financial analysis of domestic life and health insurance companies by the Department of Insurance. File includes records submitted by insurance companies providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records after 10 years.

ITEM 11815. MISCELLANEOUS DOMESTIC CORRESPONDENCE AND ANALYSIS FILE.

Records in paper and electronic formats concerning the financial analysis of miscellaneous domestic insurance companies by the Department of Insurance. File includes records submitted by insurance companies providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records after 10 years.

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ITEM 11816. FOREIGN PROPERTY AND CASUALTY (ACTIVE)

CORRESPONDENCE AND ANALYSIS FILE. Records in paper and electronic formats concerning the financial analysis of foreign property and casualty insurance companies by the Department of Insurance. File includes documents submitted by insurance companies providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Transfer inactive records to Foreign Property and Casualty Inactive Correspondence and Analysis File (Item 11818). Destroy in office all other paper and electronic records after 5 years.

ITEM 11817. FOREIGN LIFE AND HEALTH (ACTIVE) CORRESPONDENCE AND

ANALYSIS FILE. Records in paper and electronic formats concerning the financial analysis of foreign life insurance companies by the Department of Insurance. File includes documents submitted by insurance companies providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records after 5 years.

ITEM 11818. FOREIGN PROPERTY AND CASUALTY (INACTIVE)

CORRESPONDENCE AND ANALYSIS FILE. Records in paper and electronic formats concerning the financial analysis of foreign property and casualty insurance companies by the Department of Insurance. File includes documents submitted by insurance companies providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records after 2 years.

ITEM 11822. ESCHEATS FILE. Records received from companies concerning abandoned North Carolina property. (The North Carolina Department of Insurance no longer has the responsibility for escheats. This item is no longer applicable.)

DISPOSITION INSTRUCTIONS: Function and records transferred to Department of State Treasurer, Administrative Services Division, Escheat Operations Section, Escheated Property File (Item 16228). Transfer records currently stored in the State Records Center to the custody of the Archives 5 years from date received.

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ITEM 11824. ACTIVE COMPANY DOCKET FILE. Records concerning insurance companies currently licensed in North Carolina. File includes reference copies of charters, bylaws, amendments, powers of attorney, and corporate histories. Prior to September 30, 2003, paper records were transferred to Inactive Company Docket File (Item 11825) when company was designated inactive. Active and Inactive docket files in the Agency's possession as of October 1, 2003, and thereafter are scanned into the Licensed Companies Database (Electronic) File (Item 38870).

DISPOSITION INSTRUCTIONS: Scan records in office. Destroy in office paper copies of scanned records after all quality control procedures have been completed. Retain in office electronic records permanently.

ITEM 11825. INACTIVE COMPANY DOCKET FILE. Records in paper concerning companies formerly licensed in North Carolina. File includes reference copies of charters, bylaws, amendments, powers of attorney, and corporate histories. Records prior to September 30, 2003, were transferred to the State Records Center. Beginning October 1, 2003 docket files are scanned into the Licensed Companies Database (Electronic) File (Item 38870).

DISPOSITION INSTRUCTIONS: Scan records in office. Destroy in office paper copies of scanned records after all quality control procedures have been completed. Retain in office electronic records permanently. Transfer records currently held in the State Records Center immediately to the custody of the Archives.

ITEM 11826. RECEIVERSHIP FILE. Records in paper and electronic formats concerning companies that have been placed in liquidation. File includes correspondence regarding companies' solvency, claims register, financial statements, checkbooks, and other related records.

DISPOSITION INSTRUCTIONS: Transfer paper and electronic records to Regulatory Actions Division immediately upon notification of receivership proceedings.

ITEM 11827. ANNUAL STATEMENT OF DOMESTIC INSURANCE COMPANIES FILE. Annual statements filed by companies domesticated in North Carolina and reflecting all financial information relative to the company. Statements include statistical information regarding company operations and lines of business.

DISPOSITION INSTRUCTIONS: Transfer to the State Records Center after 7 years. Records will be held for agency in the State Records Center 5 additional years and then transferred to the custody of the Archives.

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ITEM 11828. DOMESTIC HOLDING COMPANY STATEMENTS FILE. Holding company statements of companies domesticated in North Carolina concerning their affiliated activities. (Comply with applicable provisions of G.S. 58-19-40 regarding confidentiality of records undergoing an examination or investigation made pursuant to G.S. 58-19-35, and all information reported pursuant to G.S. 58-19-25 and G.S. 58-19-30.

DISPOSITION INSTRUCTIONS: Transfer to the State Records Center after 7 year(s). Records will be held for agency in the State Records Center 5 additional years and then transferred to the custody of the Archives.

ITEM 11829. FOREIGN HOLDING COMPANY STATEMENTS FILE. Reference copies of basic foreign holding company statements and amendments of foreign entities.

DISPOSITION INSTRUCTIONS: Destroy in office when administrative value ends, but not to exceed 5 years.

ITEM 11831. SECURITIES (FORMS) FILE. Records in paper and electronic formats concerning securities deposited with the Department of Insurance. Forms include incoming and released deposit amounts, dates of transactions, classifications, interest rates, maturity dates, and other related information. The North Carolina Department of Insurance no longer administers this information directly. It is retained by the Department's Custodian pursuant to a Custodian Agreement for a period of 3 years following expiration of the contract. (Comply with applicable provisions of G.S. 58-2-40 and 58-2-132(f) regarding confidentiality of records.)

DISPOSITION INSTRUCTIONS: Destroy paper and electronic records 3 years after expiration of contract if no litigation, claim, audit, or other official action involving the records has been initiated. If official action has been initiated, destroy in office after completion of action and resolution of issues involved.

ITEM 11832. SALE OF SECURITIES FILE. Records in paper and electronic formats concerning the sale of investment securities such as stocks, bonds, and debentures. File includes prospectus, offering memorandums, correspondence regarding requested actions by the Department, and other related records. These records relate to Chapter 58, Article 18 that was repealed June 15, 2001.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records of prospectus and offering memorandums after 1 year. Destroy in office remaining paper and electronic records after 10 years or no later than June 15, 2011, whichever occurs first.

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ITEM 11835. FOREIGN AND DOMESTIC QUARTERLY FINANCIAL STATEMENTS FILE. Quarterly financial statements filed by domestic and foreign insurance companies.

DISPOSITION INSTRUCTIONS: Destroy in office quarterly financial statements for corresponding preceding year after receipt of current year quarterly financial statements.

ITEM 12333. REPORTS ON EXAMINATIONS (FOREIGN COMPANIES) FILE. Reference copies of reports in paper and electronic formats concerning examinations regarding financial positions as provided by the state of domicile. Reports include summaries of financial condition, descriptions of corrective actions (if needed), and other related information.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records when superseded.

ITEM 12335. RENEWAL APPLICATIONS FOR LICENSE FILE. Applications received from companies requesting lines of authority in North Carolina. Applications include names and addresses of companies, lines of authority, and fees received. Names and addresses of companies, lines of authority, and fees received are entered into Licensed Companies Database (Electronic) File (Item 38870) immediately upon receipt and approval. Effective January 1, 2004, renewal applications are no longer received from insurance companies. Licenses are now issued on a perpetual basis instead of being renewed annually. Therefore, this item is no longer applicable.

DISPOSITION INSTRUCTIONS: Destroy in office all records by January 1, 2009.

ITEM 13409. SECURITY DEPOSITS FILE. Records in paper and electronic formats concerning security deposits of Self-Insured Workers' Compensation entities. File includes completed departmental forms (Agreement and Undertaking for Security Deposit (Form 11-WC), Power of Attorney - Securities (Form 12-WC), Surety Bond of Self-Insurer of Workers' Compensation (Form 14-WC) and correspondence. A custodian for the North Carolina Department of Insurance maintains the completed forms.

DISPOSITION INSTRUCTIONS: All forms are retained permanently by the Department's Custodian pursuant to a Custodian Agreement. Electronic and paper correspondence is transferred to or Collateral Security Deposit Program Records File (Item 48317).

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ITEM 24531. TROUBLED COMPANIES FILE. Records in paper and electronic formats concerning previously or currently licensed companies and/or similar or related entities that have been monitored directly by the Department because of being classified as a "troubled company". File includes financial statements, departmental correspondence and memorandums regarding the financial solvency of companies, court orders, and other case related records.

DISPOSITION INSTRUCTIONS: Transfer paper and electronic records to Regulatory Actions Division immediately when regulatory responsibility is transferred to that division. Transfer paper and electronic records to respective Domestic Property and Casualty Correspondence and Analysis File (Item 11813), Domestic Life And Health Correspondence and Analysis File (Item 11814), Miscellaneous Domestic Correspondence and Analysis File (Item 11815), Foreign Property And Casualty (Active) Correspondence And Analysis File (Item 11816), Foreign Life And Health (Active) Correspondence and Analysis File (Item 11817) and Foreign Miscellaneous (Active) Correspondence And Analysis File (Item 38868).

ITEM 38863. AUTHORIZED REINSURERS (ACTIVE) CORRESPONDENCE AND ANALYSIS FILE. Records in paper and electronic formats concerning the financial analysis of authorized reinsurers by the Department of Insurance. File includes records submitted by insurance companies providing notification to or requesting approval of the Department of Insurance for specific transactions or authority; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Transfer paper and electronic records to the Authorized Reinsurers (Inactive) Correspondence and Analysis File (Item 38865) when reinsurer becomes inactive. Destroy in office all other paper and electronic records after 5 years.

ITEM 38864. AUTHORIZED REINSURERS (ACTIVE) FINANCIAL STATEMENTS FILE. Financial statements filed by authorized reinsurers to the Department of Insurance. Statements include statistics regarding company operations and lines of business.

DISPOSITION INSTRUCTIONS: Destroy in office after 2 years.

ITEM 38865. AUTHORIZED REINSURERS (INACTIVE) CORRESPONDENCE AND ANALYSIS FILE. Records in paper and electronic formats concerning the financial analysis of inactive reinsurers formerly authorized by the Department of Insurance. File includes records submitted by reinsurers providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office after 2 years.

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ITEM 38866. AUTHORIZED REINSURERS (INACTIVE) FINANCIAL STATEMENTS FILE. Financial statements concerning inactive reinsurers formerly authorized by the Department of Insurance. Statements include statistics regarding company operations and lines of business.

DISPOSITION INSTRUCTIONS: Destroy in office after 2 years after receipt.

ITEM 38867. FOREIGN AND DOMESTIC INSURERS LICENSE FILE. Official paper copies of licenses issued to insurance companies reflecting lines of business authorized in North Carolina. Licenses include date issued.

DISPOSITION INSTRUCTIONS: Retain in office permanently.

ITEM 38868. FOREIGN MISCELLANEOUS (ACTIVE) CORRESPONDENCE AND ANALYSIS FILE. Records in paper and electronic formats concerning the financial analysis of foreign insurance companies by the Department of Insurance that is not already covered by another item record. File includes documents submitted by insurance companies providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records after 5 years.

ITEM 38870. LICENSED INSURER DATABASE (ELECTRONIC) FILE. Records in electronic format concerning regulated entities authorized to operate in North Carolina. Electronic file includes, but is not limited to, names and addresses of companies, dates incorporated, National Association of Insurance Commissioners identification numbers, federal identification numbers, and other related information. See also Active Company Docket File (11824) and Inactive Company Docket file (11825) (Office inputs data as required to NAIC database and electronic file is maintained by NAIC – State Based Systems.)

DISPOSITION INSTRUCTIONS: Retain in office access to on-line database permanently.

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ITEM 38871. NATIONAL ASSOCIATION OF INSURANCE COMMISSIONERS (NAIC) ACCREDITATION REPORTS FILE. Reports in paper and electronic formats prepared by the Department of Insurance concerning accreditation by the National Association of Insurance Commissioners (NAIC) which helps promote financial solvency within the industry. Reports include statistical information regarding company solvency. File also includes Reports on Examination, audited financial statements, and other related information.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records after 10 years.

ITEM 38872. NORTH CAROLINA LEGISLATION FILE. Records in paper and electronic formats concerning legislation affecting the Department of Insurance. File includes proposed bills, reference copies of General Statutes, correspondence regarding proposed or approved legislation, and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office all research and supporting documentation for legislative changes after 10 years. Destroy in office all other records immediately after enactment of legislation or after 1 legislative biennium.

ITEM 38876. STATEMENT REGARDING THE ACQUISITION OF CONTROL OF OR MERGER WITH A DOMESTIC INSURER (FORM A) FILE. Records in paper and electronic formats concerning the acquisition of control of or merger with a domestic insurer. Forms include names of companies domesticated in North Carolina, number of shares acquired, cost per share, dates acquired, and other related information submitted by the applicant as required by the Model Form A.

DISPOSITION INSTRUCTIONS: Transfer the complete original paper records to the State Records Center after 6 year(s). Paper records will be held for agency in the State Records Center 5 additional years and then transferred to the custody of the Archives. Destroy electronic records in office after 10 years. (Comply with 11 NCAC 11b.0218, regarding the "source of consideration," which must be kept confidential if requested by person filing the statement.)

ITEM 38877. STATISTICAL REPORTS FILE. Annual statistical reports in paper and electronic formats prepared by the Department of Insurance. Reports include values and lines of business written for each licensed insurance company. (Note: Effective with year-end December 31 2005, the responsibility for the development and maintenance of these reports was transferred to the Actuarial Services Division.)

DISPOSITION INSTRUCTIONS: Transfer function to Department of Actuarial Services Division, Statistical Filings File (Item 48081). Destroy all records in office after 10 years or by December 31, 2015, which ever occurs first.

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ITEM 38878. SURPLUS LINES INSURERS (ACTIVE) CORRESPONDENCE AND ANALYSIS FILE. Records in paper and electronic formats concerning the financial analysis of surplus lines insurers by the Department of Insurance. File includes records submitted by surplus lines insurers providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Transfer paper and electronic records to Surplus Lines Insurers (Inactive) Correspondence and Analysis File (Item 38879) immediately after surplus lines insurer becomes inactive. Destroy in office all other paper and electronic records after 5 years.

ITEM 38879. SURPLUS LINES INSURERS (INACTIVE) CORRESPONDENCE AND ANALYSIS FILE. Records in paper and electronic formats concerning the financial analysis of inactive surplus lines insurer formerly authorized by the Department of Insurance. File includes records submitted by surplus lines insurers providing notification to or requesting approval of the Department of Insurance for specific transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records 2 years after the insurer becomes inactive.

ITEM 38880. SURPLUS LINES INSURERS (INACTIVE) FINANCIAL STATEMENTS FILE. Financial statements concerning inactive surplus lines insurers. Statements include financial information regarding companies' solvency, names of insurance companies, dates of statements, and other related information.

DISPOSITION INSTRUCTIONS: Destroy in office 2 years from receipt.

ITEM 38881. SURPLUS LINES INSURERS FINANCIAL STATEMENTS FILE. Financial statements filed by surplus lines insurers with the Department of Insurance.

DISPOSITION INSTRUCTIONS: Destroy in office 2 years after receipt.

ITEM 48317. COLLATERAL SECURITY DEPOSIT PROGRAM RECORDS FILE. Department records in paper and electronic formats including monthly reconciliations, trial balance report, holdings report, minimum deposit report, security control report, company account information, etc. related to the Department's administration of the collateral security deposit program.

DISPOSITION INSTRUCTIONS: Destroy in office company account information in electronic format 2 years after an account is liquidated. Destroy in office paper and remaining electronic records after 5 years.

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ITEM 48318. DOMESTIC HEALTH MAINTENANCE ORGANIZATION/HOSPITAL MEDICAL DENTAL INDEMNITY CORPORATION INITIAL LICENSE APPLICATIONS OR EXPANSIONS OF AUTHORITY FILE. Reference copies in paper and electronic formats concerning requests by health maintenance organizations or hospital medical dental indemnity corporations for initial authority to operate in North Carolina or for expansions of that authority. File includes records submitted by entities requesting approval of the Department of Insurance for authority; correspondence; memorandums; department and company statistical information; and other related records. The Life and Health Division of the Department of Insurance is responsible for retention of the original filings.

DISPOSITION INSTRUCTIONS: Destroy in office within two years after authority is no longer held by entity.

ITEM 48319. FOREIGN HEALTH MAINTENANCE ORGANIZATION INITIAL LICENSE APPLICATION OR EXPANSIONS OF AUTHORITY FILE. Reference copies in paper and electronic formats concerning requests by foreign health maintenance organizations for initial authority to operate in North Carolina or for expansions of that authority. File includes documents submitted by entities requesting approval of the Department of Insurance for authority; correspondence; memorandums; department and company statistical information; and other related records. The Life and Health Division of the Department of Insurance is responsible for retention of the original filings.

DISPOSITION INSTRUCTIONS: Destroy in office within two years after authority is no longer held by entity.

ITEM 48320. REINSURANCE INTERMEDIARY INITIAL AND RENEWAL LICENSE/EXEMPT RECORDS FILE. Records in paper and electronic formats concerning requests by intermediaries for an initial or renewal license/exemption. File includes applications and other records submitted by the intermediaries; correspondence; Department analysis work papers, and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office 2 years after intermediary is no longer licensed or otherwise authorized.

ITEM 48321. REINSURANCE INTERMEDIARY ANALYSIS AND CORRESPONDENCE RECORDS FILE. Records in paper and electronic formats concerning the financial analysis of reinsurance intermediaries by the Department of Insurance. File includes records submitted by intermediaries providing notification to or requesting approval of the Department of Insurance for authority or transactions; correspondence; memorandums; department and company statistical forms; and other related records.

DISPOSITION INSTRUCTIONS: Destroy in office paper and electronic records after 3 years.

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ITEM 48322. PROFESSIONAL DEVELOPMENT FILE. Records in paper and electronic formats concerning the professional development of agency personnel. File includes reference copies of certificates of attendance and an electronic listing.

DISPOSITION INSTRUCTIONS: Destroy paper and electronic reference copies of certificates of course completion for each employee after 5 years or when the documents are no longer needed for accreditation purposes, whichever occurs later. Destroy electronic records for each employee after the employee is no longer employed by the Company Services Group.

ITEM 48323. LICENSE UPDATE FORM FILE. Completed forms received from each licensed insurance company providing updated information on corporate information such as its name, address, telephone number, President's name, etc.

DISPOSITION INSTRUCTIONS: Destroy in office after 2 years.

ITEM 48324. DOMESTIC STATUTORY AUDITED FINANCIAL STATEMENTS FILE. Statutory Audited Financial Statements, Internal Control Letters, and Notice of Adverse Financial Condition filed by insurers domesticated in North Carolina.

DISPOSITION INSTRUCTIONS: Transfer to the State Records Center after 7 years. Records will be held for agency in the State Records Center 6 additional years and then destroyed.

ITEM 48325. MEDICARE ONLY - DOMESTIC HEALTH MAINTENANCE ORGANIZATION/HOSPITAL MEDICAL DENTAL INDEMNITY CORPORATION INITIAL LICENSE APPLICATIONS OR EXPANSIONS OF AUTHORITY FILE.

Records in paper and electronic formats submitted by health maintenance organizations or hospital medical dental indemnity corporations for initial authority to operate in North Carolina or for expansions of that authority. The original complete request is maintained in the office for as long as the HMO/HMDI is licensed.

DISPOSITION INSTRUCTIONS: Transfer the complete original paper records to the State Records Center 2 years after HMO/HMDI is no longer active. Paper records will be held for agency in the State Records Center 5 additional years and then transferred to the custody of the Archives. Destroy electronic records in office 10 years after the HMO/HMDI is no longer active.

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ITEM 48326. MEDICARE ONLY - FOREIGN HEALTH MAINTENANCE ORGANIZATION INITIAL LICENSE APPLICATION OR EXPANSIONS OF AUTHORITY FILE. Records in paper and electronic formats submitted by foreign health maintenance organizations for initial authority to operate in North Carolina or for expansions of that authority. The original complete request is maintained in the office for as long as the HMO/HMDI is licensed.

DISPOSITION INSTRUCTIONS: Transfer the complete original paper records to the State Records Center 2 years after HMO/HMDI is no longer active. Paper records will be held for agency in the State Records Center 5 additional years and then transferred to the custody of the Archives. Destroy electronic records in office 10 years after the HMO/HMDI is no longer active.

ITEM 3565. STATISTICAL HISTORY FILE. Statistical reports compiled on insurance companies. File includes statistics, company histories and other related records.

DISPOSITION INSTRUCTIONS: Transfer all records currently in the State Records Center immediately to the custody of the Archives. Function transferred to Domestic Property and Casualty Correspondence and Analysis File (Items 11813), Domestic Life And Health Correspondence and Analysis File (Item 11814), Miscellaneous Domestic Correspondence and Analysis File (Item 11815), Foreign Property And Casualty (Active) Correspondence And Analysis File (Item 11816), Foreign Life And Health (Active) Correspondence and Analysis File (Item 11817) and Foreign Miscellaneous (Active) Correspondence And Analysis File (Item 38868).

ITEM 23699. VARIABLE ANNUITY FILE. Records concerning the licensing of variable annuity companies in North Carolina. File includes financial statements, business plans, and other related records.

DISPOSITION INSTRUCTIONS: Transfer function and records to Domestic Life and Health Correspondence and Analysis File (Item 11814) or Foreign Life and Health (Active) Correspondence and Analysis File (Item 11817).

ITEM 47319. DOCKET BOOK OF COMPANY ADMISSIONS FILE. Listing of companies licensed in North Carolina. Docket Book refers to records contained in Inactive Company Docket File (Item 11825) and Active Company Docket File (Item 11824).

DISPOSITION INSTRUCTIONS: Item discontinued. Function transferred to Inactive Company Docket File (Item 11825) and Active Company Docket File (Item 11824). Transfer all records in the State Records Center immediately to the custody of the Archives.