DEPARTMENT OF PUBLIC SAFETY

Program Records Retention and Disposition Schedule

The Program Records Retention and Disposition Schedule and retention periods governing the records series listed herein are hereby approved. In accordance with the provisions of Chapters 121 and 132 of the General Statutes of North Carolina, it is agreed that the records of the

DEPARTMENT OF PUBLIC SAFETY

do not and will not have further official use or value for administrative, research, or reference purposes after the respective retention periods specified herein. The N.C. Department of Cultural Resources consents to the destruction or other disposition of these records in accordance with the retention and disposition instructions specified in this schedule. However, records subject to audit or those legally required for ongoing official proceedings must be retained until released from such audits or official proceedings, notwithstanding the instructions of this schedule.

This schedule encompasses the following changes:

A. The consolidation of the program records retention and disposition schedules for the former Departments of Correction, Crime Control and Public Safety, and Juvenile Justice and Delinquency Prevention.
B. The removal of all items which were discontinued or whose functions and records were transferred prior to January 1, 2012. These items are listed on the *Items Deleted* attachment.

The Department of Public Safety and the Department of Cultural Resources agree that certain records series have minimal administrative, reference, or historical value. When the custodian of any official State records certifies to the Department of Cultural Resources that such records have no further use or value for official and administrative business and when the Department certifies that such records appear to have no further use or value for research or reference, then such records may be destroyed or otherwise disposed of by the agency having custody of them. As part of its program operations the Department of Public Safety agrees to establish and enforce internal policies. The policy will specify how long those records must be retained and when they must be destroyed.

E-mail messages sent or received by Executive Branch agencies shall be retained for 10 years pursuant to Executive Order No. 18 (issued July 7, 2009 by Governor Beverly Perdue) and as set forth in G1 of the General Schedule for State Agency Records. Any e-mail messages requiring retention longer than 10 years, including those with permanent historical value, shall be designated with specific retention periods in this program records schedule. Public records including electronic records not listed in this schedule or in the General Schedule for State Agency Records are not authorized to be destroyed. The

DEPARTMENT OF PUBLIC SAFETY

agrees to destroy, transfer or dispose of records in the manner and at the times specified herein. This schedule is to remain in effect until superseded.

APPROVAL RECOMMENDED

Robert Blinson, Chief Records Officer
Department of Public Safety

William M. Polk, Special Counsel
Department of Public Safety

Sarah E. Koonts, Director
Division of Archives and Records

APPROVED

Reuben F. Young, Secretary
Department of Public Safety

Linda A. Carlisle, Secretary
Department of Cultural Resources

DEPARTMENT OF CORRECTION
DIVISION OF ADULT PROBATION AND PAROLE
OFFICE OF THE DEPUTY DIRECTOR
ASSISTANT DIRECTOR PROGRAMS AND SUPPORT SERVICES
ELECTRONIC HOUSE ARREST MONITORING OPERATIONS

Records Retention and Disposition Schedule

The Records Retention and Disposition Schedule and retention periods governing the records series listed herein are hereby approved. In accordance with the provisions of Chapters 121 and 132 of the General Statutes of North Carolina, it is agreed that the records of the

ELECTRONIC HOUSE ARREST MONITORING OPERATIONS

do not and will not have further official use or value for administrative, research, or reference purposes after the respective retention periods specified herein. The N.C. Department of Cultural Resources consents to the destruction or other disposition of these records in accordance with the retention and disposition instructions specified in this schedule. However, records subject to audit or those legally required for ongoing official proceedings must be retained until released from such audits or official proceedings, notwithstanding the instructions of this schedule. **Public records including machine readable records not listed in this schedule are not authorized to be destroyed.** The

ELECTRONIC HOUSE ARREST MONITORING OPERATIONS

agrees to destroy, transfer or dispose of records in the manner and at the times specified herein. This schedule is to remain in effect until superseded.

APPROVAL RECOMMENDED

Robert Brinson, Chief Records Officer
Department of Correction

This schedule was modified as part of the creation of the Department of Public Safety. Items discontinued or items whose functions and records have transferred have been deleted. Remaining items are effective January 1, 2012.

Larry W. Harris, Assistant Director
Programs and Support Services
Division of Adult Probation and Parole

Robert Guy, Deputy Director
Division of Adult Probation and Parole

Theodis Beck, Director
Division of Adult Probation and Parole

Jeffrey J. Crow, Acting Director
Division of Archives and History

APPROVED

Franklin Freeman, Secretary
Department of Correction

Betty R. McCain, Secretary
Department of Cultural Resources

October 23, 1995

This schedule was modified to comply with the provisions of the General Schedule for State Agency Records, effective October 1, 2000. Remaining items retain the original date shown below.

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DEPARTMENT OF PUBLIC SAFETY
CORRECTION - DIVISION OF ADULT PROBATION AND PAROLE
OFFICE OF THE DEPUTY DIRECTOR
ASSISTANT DIRECTOR PROGRAMS AND SUPPORT SERVICES
ELECTRONIC HOUSE ARREST MONITORING OPERATIONS

ITEM 37959. ACTIVE CASE FILE.

Records concerning offenders assigned to Electronic House Arrest (EHA). File includes EHA Data Reports (Dapp-90), Violation Reports (Dapp-93), and Schedule Update Reports. Information entered into Statistical Report Database (Electronic) File (Item 37968).

DISPOSITION INSTRUCTIONS: Transfer to Inactive Case File (Item 37965) upon completion of supervision.

ITEM 37963. FIELD MONITORING DEVICE (ELECTRONIC) FILE.

Machine readable records concerning the history of the monitoring devices. Electronic file includes offenders' names, addresses, probation and/or parole officers' numbers, and beginning and ending dates of offenders supervision.

DISPOSITION INSTRUCTIONS: Erase in office when administrative value ends. Create backup copies of data on disks routinely and transfer 1 security copy of disk to an offsite location for backup storage. Agency representative will update periodically.

ITEM 37965. INACTIVE CASE FILE.

Records concerning offenders assigned to Electronic House Arrest (EHA). File includes EHA Data Reports (Dapp-90), EHA Status Revisions Reports (Dapp-92), Violation Reports (Dapp-93), and Schedule Update Reports.

DISPOSITION INSTRUCTIONS: Destroy in office after 1 year.

ITEM 37967. STATISTICAL REPORT FILE.

Reports concerning offenders assigned to Electronic House Arrest (EHA). File includes weekly population reports, new and deleted case log sheets reports, and fiscal year-end reports. Information entered into Statistical Report Database (Electronic) File (Item 37968).

DISPOSITION INSTRUCTIONS: Retain in office permanently.

ITEM 37968. STATISTICAL REPORT DATABASE (ELECTRONIC) FILE.

Machine readable records concerning offenders assigned to Electronic House Arrest (EHA). Electronic file includes the number of cases that have been revoked, completed, and monitored. File also includes names of counties, judicial districts, and other related data.

DISPOSITION INSTRUCTIONS: Transfer 1 security copy of each floppy disk to an offsite location for backup storage. Agency representative will update periodically. Retain disk in office permanently.

ITEM 37969. STATISTICAL REPORT (PRINTOUTS) FILE.

Computer generated printouts produced from Statistical Report Database (Electronic) File (Item 37968). Printouts list the number of cases that have been revoked, completed, and monitored; names of counties and judicial districts; and other related data.

DISPOSITION INSTRUCTIONS: Destroy in office when administrative value ends.